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Tight Bound on Treedepth in Terms of Pathwidth and Longest Path

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Abstract

We show that every graph with pathwidth strictly less than a that contains no path on 2^{b} vertices as a subgraph has treedepth at most 10ab. The bound is best possible up to a constant factor.

Keywords Treewidth · Pathwidth · Treedepth

1 Introduction

Treewidth (tw), pathwidth (pw), and treedepth (td) are among the best-known and most widely studied structural width parameters of graphs. They are related by the inequalities $tw(G) + 1 \leq pw(G) + 1 \leq td(G)$ for every graph *G*. Moreover, trees have treewidth 1 and arbitrarily large pathwidth, while paths have pathwidth 1 and arbitrarily large treedepth.

Treedepth is approximated by the maximum length of a path¹: every graph containing an ℓ -vertex path has treedepth greater than $\log_2 \ell$, and every graph with no such path has treedepth less than ℓ [7, Sect. 6]. Similarly, pathwidth is approximated by the

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¹ In this paper, we are concerned only about non-induced paths.

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maximum height of a complete binary tree minor: every graph containing a complete binary tree of height *h* as a minor has pathwidth at least $\lfloor \frac{h}{2} \rfloor$ [9], and every graph with no such minor has pathwidth $\mathcal{O}(2^h)$ [1]. For both parameters, the exponential gap between the respective lower and upper bounds cannot be avoided, as witnessed by complete graphs. Treewidth is approximated by the maximum size of a grid minor, but here the gap is polynomial: while every graph containing a $k \times k$ grid as a minor has treewidth at least *k* [8], every graph with no such minor has treewidth polynomial in *k* [2].

Kawarabayashi and Rossman [6] showed that treedepth is approximated with polynomial gap by the three above-mentioned obstructions together: every graph with no $k \times k$ grid minor, no height k complete binary tree minor, and no 2^k -vertex path has treedepth polynomial in k. More specifically, they proved that every graph of treewidth less than k with no height k complete binary tree minor and no 2^k -vertex path has treedepth $\mathcal{O}(k^5 \log^2 k)$. Here are an improvement of this statement and an analogous result relating pathwidth and treewidth:

Theorem 1 (Czerwiński, Nadara, Pilipczuk [3]²) Every graph of treewidth less than t with no complete binary tree of height h as a minor and no 2^{b} -vertex path has treedepth $\mathcal{O}(thb)$.

Theorem 2 (Groenland, Joret, Nadara, Walczak [5]) *Every graph of treewidth less than t with no complete binary tree of height h as a minor has pathwidth* O(th).

We complete the picture by proving an analogous result relating treedepth and pathwidth.

Theorem 3 Every graph of pathwidth less than a containing no 2^b -vertex path has treedepth at most 10*ab*.

Clearly, Theorems 2 and 3 imply Theorem 1. On the other hand, Theorem 1 implies that every graph of pathwidth less than *a* containing no 2^b -vertex path has treedepth $\mathcal{O}(a^2b)$. This is because every graph with pathwidth less than *a* has treewidth less than *a* and contains no complete binary tree of height 2*a* as a minor. In [5], it was conjectured that the bound on treedepth can be reduced to $\mathcal{O}(ab)$, and Theorem 3 provides a proof of this conjecture.

We remark that the bound in Theorem 3 is sharp up to a constant factor, which can be seen as follows. Let *b* and *c* be integers with $b > c \ge 1$, and let $a = 2^c$. Consider the graph *G* obtained from a path on 2^{b-c} vertices by replacing each vertex with a clique on $\frac{a}{2} = 2^{c-1}$ vertices and replacing each edge by a complete bipartite graph between the two cliques. Then pw(G) = a - 1. Also, *G* has 2^{b-1} vertices, and thus it has no 2^b -vertex path. It can be checked that *G* has treedepth at least $\frac{a}{2}(b - c)$, which is roughly ab/2 when $b \gg c$. It is shown in [5] that the bound in Theorem 2 is also sharp up to a constant factor. Whether the bound in Theorem 1 can be improved remains an open problem.

² In [3], the bound is stated in the special case t = h = b, but the proof works in general.

2 Preliminaries

All graphs in this paper are finite and simple, that is, they have no loops or parallel edges. All logarithms in this paper are to the base 2.

A rooted tree is a tree with one vertex designated as the root. A rooted forest is a disjoint union of rooted trees. We define the *height* of a rooted forest F as the maximum number of vertices on a path from a root to a leaf in F. A vertex u is an *ancestor* of a vertex v in a rooted forest F if u lies on the (unique) path from a root to v in F. A rooted forest F is an *elimination forest* of a graph G if V(F) = V(G) and for every edge uv of G, one of the vertices u and v is an ancestor of the other in F. The treedepth of a graph G, denoted by td(G), is the minimum height of an elimination forest of G.

A *tree decomposition* of a graph *G* is a pair (T, \mathcal{B}) such that *T* is a tree, the vertices of which are called *nodes*, and \mathcal{B} is a collection $\{B_t\}_{t \in V(T)}$ of subsets of V(G), called *bags*, indexed by the nodes of *T*, such that the following conditions are satisfied:

- (1) for every edge $uv \in E(G)$, there is a bag containing both u and v;
- (2) for every vertex $v \in V(G)$, the set of nodes $t \in V(T)$ with $v \in B_t$ induces a non-empty subtree of T.

The *width* of a tree decomposition is the maximum size of a bag minus 1. The *treewidth* of a graph G, denoted by tw(G), is the minimum width of a tree decomposition of G. The notions of *path decomposition* and *pathwidth* are defined analogously with the extra condition that the tree T is a path. The pathwidth of G is denoted by pw(G).

A *k*-linkage between two subsets *A* and *B* of the vertices of a graph *G* is a subgraph of *G* that consists of *k* vertex-disjoint paths each starting in *A* and ending in *B*. (If *A* and *B* intersect, then a path of a *k*-linkage between *A* and *B* may consist of a single vertex in $A \cap B$.) A path decomposition (P, \mathcal{B}) of a graph *G* is *linked* if for any two nodes $t, t' \in V(P)$, there is a *k*-linkage between B_t and $B_{t'}$ where *k* is the minimum size of a bag B_s for nodes *s* on the path from *t* to *t'* in *P*. We use the fact that there is always a path decomposition of minimum width that is linked.

Theorem 4 (Erde [4, Theorem 5.8]) *Every graph G has a path decomposition of width* pw(G) *that is linked.*

3 Proof

We proceed with the proof of Theorem 3, that every graph of pathwidth less than a containing no 2^b -vertex path has treedepth at most 10ab.

Let *G* be a graph with pw(G) < a and with no path on 2^b vertices. If $2^b < 2a$, then the statement of the theorem is easily seen to hold by considering a depth-first search forest of *G*, which is an elimination forest of *G*. Its height is less than 2^b , which is less than 2a. Hence, td(G) < 2a < 10ab. Therefore, we may assume that $2^b \ge 2a$. This inequality will be used at the very end of the proof.

Fix a linked path decomposition (P, \mathcal{B}) of G with $\mathcal{B} = \{B_t\}_{t \in V(P)}$ and $|B_t| \leq a$ for every node $t \in V(P)$; such a linked path decomposition exists by Theorem 4. We think of the nodes as being laid out from left to right along P. For a set of nodes $X \subseteq V(P)$, let

$$B(X) = \bigcup_{t \in X} B_t.$$

We call the node set of any subpath of P an interval. For an interval I, we let

$$level(I) = min\{|B_t| \mid t \in I\}$$
 and $int(I) = B(I) - B(V(P) - I)$

Thus, int(I) (the "interior" of I) is the set of vertices of G that lie only in the bags of nodes in I.

For every $k \in \{1, ..., a\}$ and every inclusion-maximal interval I^* with level $(I^*) \ge k$, we fix some *k*-linkage between the bags of the leftmost and the rightmost nodes in I^* , and we let $\mathcal{L}_k^*(I^*)$ be the vertex set of that *k*-linkage. For every $k \in \{1, ..., a\}$ and every interval *I* with level $(I) \ge k$, we let $\mathcal{L}_k(I) = \mathcal{L}_k^*(I^*) \cap B(I)$ where I^* is the unique inclusion-maximal interval with level $(I^*) \ge k$ containing *I*. We note the following properties of the sets $\mathcal{L}_k(I)$ for further reference:

$$\mathcal{L}_k(I) \subseteq B(I),\tag{1}$$

 $\mathcal{L}_k(I') \subseteq \mathcal{L}_k(I)$ for every interval $I' \subseteq I$, (2)

 $|\mathcal{L}_k(I) \cap B_t| \ge k$ for every node $t \in I$, (3)

$$|\mathcal{L}_k(I)| < k \cdot 2^{\rho}. \tag{4}$$

We describe an iterative process in which we construct a rooted tree T whose vertices are contained in V(G) except for the root, which is a special vertex $r^* \notin V(G)$. The initial tree T contains only the root r^* . We grow the tree T in rounds, in each round attaching new paths formed by some vertices of G that are not yet in T. We maintain the invariant that $T - r^*$ is an elimination forest of the corresponding induced subgraph of G, that is, for any two vertices in $V(T) - \{r^*\}$ that are adjacent in G, one is an ancestor of the other in T. The process ends when T contains all vertices of G, so that $T - r^*$ is an elimination forest of G.

A simple plan for a round would be to find a bag B_t whose removal from G would halve some measure that is proportional to the logarithm of the maximum path length. Then, after adding B_t to T (as a path), we could continue growing T independently on each of the two sides of $G - B_t$ starting from the vertex of B_t that is currently a leaf of T. This is too simple to work, but it motivates our actual approach.

For a tree T as above and an interval I, we use the following notation. Let $\ell = \text{level}(I)$. For every $k \in \{1, \dots, \ell\}$, we define

$$x_k(I, T) = |(int(I) \cap \mathcal{L}_k(I)) - V(T)|$$
 and $w_k(I, T) = \sum_{i=1}^k \log(x_i(I, T) + 1).$

The following "monotonicity" property is a direct consequence of (2):

if
$$I' \subseteq I$$
 and $V(T') \supseteq V(T)$, then $x_k(I', T')$
 $\leq x_k(I, T)$ and $w_k(I', T') \leq w_k(I, T)$, (5)

Furthermore, it follows from (4) that $x_i(I, T) + 1 \leq i \cdot 2^b$ for every $i \in \{1, ..., \ell\}$, which yields

$$w_{\ell}(I,T) \leq \sum_{i=1}^{\ell} \log(i \cdot 2^{b}) = b\ell + \log(\ell!), \tag{6}$$
$$w_{\ell}(I,T) - w_{k}(I,T) \leq \sum_{i=k+1}^{\ell} \log(i \cdot 2^{b}) = b(\ell-k) + \log\left(\frac{\ell!}{k!}\right)$$
for every $k \in \{1, \dots, \ell\}.$

For notational convenience, we also define $w_i(\emptyset, T) = 0$.

For a vertex v of G that has been added to T at some time in the process, let depth(v) denote the number of vertices of G on the path from r^* to v in T (thus disregarding r^* in the count). Since we always augment the tree T by adding new vertices as leaves, depth(v) is determined when v is added to T and remains unchanged till the end of the process.

During the aforesaid iterative process of constructing the tree T, we maintain

- a set *X* of nodes of *P*, and the invariant that $B(X) \subseteq V(T)$;
- the family \mathcal{I} of intervals contained in V(P) X that are inclusion-maximal;
- a designated vertex v_I in T for every interval $I \in \mathcal{I}$.

We also maintain the following invariants:

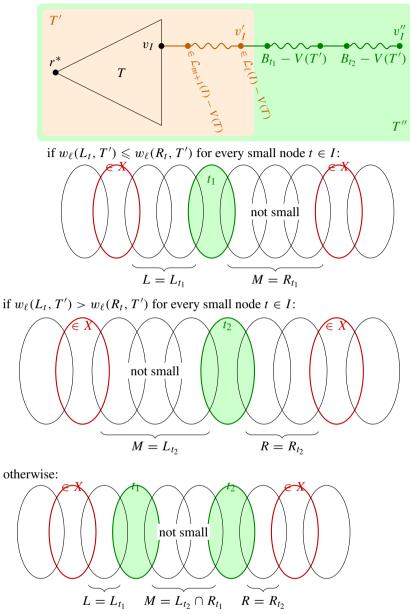
- Inv. 1. For every interval $I \in \mathcal{I}$, the path from the root r^* to v_I in T contains every vertex of $B(I) \cap V(T)$.
- Inv. 2. For every interval $I \in \mathcal{I}$ and for $\ell = \text{level}(I)$, we have

$$\frac{1}{5}\operatorname{depth}(v_I) + w_{\ell}(I, T) \leq (b+1)\ell + \log(\ell!).$$

The former allows us to maintain the invariant that $T - r^*$ is an elimination forest of the corresponding induced subgraph of G, and the latter helps us bound the height of T.

Initially, the tree *T* contains only the root r^* , the set *X* is empty, $\mathcal{I} = \{I\}$ where I = V(P), and $v_I = r^*$. For this initial setup, Inv. 1 holds by the fact that $B(I) \cap V(T) = \emptyset$, whereas Inv. 2 holds by (6) and the fact that depth $(v_I) = 0$.

Every round consists in choosing an arbitrary interval $I \in \mathcal{I}$ and adding one or two nodes of I to X. As a result, the interval I is replaced in \mathcal{I} by at most three of its proper subintervals.



Now, we describe the details of a single round. Pick an interval $I \in \mathcal{I}$. Let

 $\ell = \operatorname{level}(I)$ and $m = \max(\{0\} \cup \{i \in \{1, \dots, \ell\} \mid \mathcal{L}_i(I) - V(T) = \emptyset\}).$

It follows that

for every node $t \in I$, at least *m* vertices of B_t are already in *T*. (8)

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Indeed, this is true if m = 0, and if m > 0, this follows from (3) and the fact that all vertices of $\mathcal{L}_m(I)$ are already in *T*. For every $i \in \{1, ..., \ell\}$, since every vertex in $\mathcal{L}_i(I) - \operatorname{int}(I)$ belongs to the bag of a neighbor of *I* in *P*, which belongs to *X*, we have

$$\mathcal{L}_i(I) - V(T) \subseteq \mathcal{L}_i(I) - B(X) \subseteq \operatorname{int}(I).$$
(9)

Let $k = \ell - m$. (We may have $m = \ell$ and k = 0.) For every $i \in \{m + 1, ..., \ell\}$, by (9), we have $x_i(I, T) = |\mathcal{L}_i(I) - V(T)| \ge 1$. It follows that

$$w_{\ell}(I,T) = \sum_{i=1}^{\ell} \log(x_i(I,T)+1) \ge \sum_{i=m+1}^{\ell} \log(x_i(I,T)+1) \ge \ell - m = k.$$
(10)

Choose one vertex from the set $\mathcal{L}_i(I) - V(T)$ for each $i \in \{m + 1, \dots, \ell\}$, and add these at most k vertices into T as a path with one end attached to v_I . That is, the first vertex is added as a child of v_I and every further vertex is added as a child of the previous one. Let v'_I be the last such vertex (i.e., the other end of the path) if $k \ge 1$, and let $v'_I = v_I$ if k = 0. Let T' denote the resulting augmented tree.

Call a node $t \in I$ small if $|B_t| \leq \ell + k$. By the definition of level(*I*), at least one node in *I* is small. It follows from (8) that

for each small node
$$t \in I$$
, at most $\ell + k - m = 2k$ vertices of B_t are not yet in T' .
(11)

Recall that we think of *I* as ordered from left to right. For every node $t \in I$, let L_t and R_t denote the sets of nodes of *I* to the left and to the right of *t*, respectively, so that $I = L_t \cup \{t\} \cup R_t$. If $w_\ell(L_t, T') \leq w_\ell(R_t, T')$ for every small node $t \in I$, then let t_1 be the rightmost small node in *I*, and let $L = L_{t_1}$ and $M = R_{t_1}$. Similarly, if $w_\ell(L_t, T') > w_\ell(R_t, T')$ for every small node $t \in I$, then let t_2 be the leftmost small node in *I*, and let $M = L_{t_2}$ and $R = R_{t_2}$. Otherwise, let t_1 be the rightmost small node in *I* such that $w_\ell(L_{t_1}, T') \leq w_\ell(R_{t_1}, T')$ and t_2 be the leftmost small node in *I* such that $w_\ell(L_{t_2}, T') > w_\ell(R_{t_2}, T')$. In this case, by (5), t_1 and t_2 occur in this order from left to right, and there are no small nodes between them. Now, let $L = L_{t_1}, R = R_{t_2}$, and $M = R_{t_1} \cap L_{t_2}$ (i.e., *M* is the set of nodes strictly between t_1 and t_2). See the figure.

Whenever t_1 and t_2 are defined, we add them to X. We remove I from \mathcal{I} , and whenever L, M, and R are defined and non-empty, we add them as new intervals to \mathcal{I} .

Now, we add the vertices of $B_{t_1} - V(T')$ and $B_{t_2} - V(T')$ (whenever t_1 or t_2 are defined) to T' as one path with one end attached to v'_I . That is, the first such vertex is a child of v'_I , and every further vertex is a child of the previous. Note that possibly both sets $B_{t_1} - V(T')$ and $B_{t_2} - V(T')$ are empty; in particular, this happens when k = 0. Let v''_I be the last vertex added if at least one vertex was added, and let $v''_I = v'_I$ otherwise. Let T'' denote the new tree. By (11), we have added at most 4k additional vertices, so

$$\operatorname{depth}(v_I'') \leq \operatorname{depth}(v_I) + 4k = \operatorname{depth}(v_I) + 5k.$$
(12)

Whenever *L*, *M*, or *R* is defined and non-empty, we set the corresponding vertex v_L , v_M , or v_R to be v''_I . By Inv. 1 for *I*, it follows that the path from r^* to v''_I contains every vertex of $B(I) \cap V(T'')$, which yields Inv. 1 for *L*, *M*, and *R* (when they are defined and non-empty).

Before verifying Inv. 2, let us capture the key properties of L, M, and R. If L is defined and non-empty (so that $L = L_{t_1}$), then let $\overline{L} = R_{t_1}$. If R is defined and non-empty (so that $R = R_{t_2}$), then let $\overline{R} = L_{t_2}$. Whenever the respective sets are defined, we have

$$w_{\ell}(L,T') \leqslant w_{\ell}(L,T')$$
 and $w_{\ell}(R,T') \leqslant w_{\ell}(R,T')$, (13)

$$w_{\ell}(M, T'') \leqslant w_{\ell}(I, T), \tag{14}$$

$$\operatorname{level}(M) \ge \ell + k + 1,\tag{15}$$

where (14) follows from (5), and (15) follows as there are no small nodes in M.

While a bound analogous to (14) holds also for $w_{\ell}(L, T'')$ and $w_{\ell}(R, T'')$, we need a stronger one. First we focus on the interval *L*, and the argument is symmetric for the interval *R*. For $i \in \{1, ..., \ell\}$, we compare $x_i(I, T)$ with $x_i(L, T')$ and $x_i(\overline{L}, T')$. Note that int(L) and $int(\overline{L})$ are vertex-disjoint and are both contained in int(I). For each $i \in \{1, ..., \ell\}$, we have $\mathcal{L}_i(L) \subseteq \mathcal{L}_i(I)$ and $\mathcal{L}_i(\overline{L}) \subseteq \mathcal{L}_i(I)$, by (2). For each $i \in \{m + 1, ..., \ell\}$, we have put one vertex of $\mathcal{L}_i(I) - V(T)$ into T'; this vertex belongs to int(I) by (9). This, the property (1), and the definition of x_i imply that for each $i \in \{1, ..., \ell\}$, we have

$$x_i(I,T) \ge \begin{cases} x_i(L,T') + x_i(\overline{L},T') & \text{if } i \le m, \\ x_i(L,T') + x_i(\overline{L},T') + 1 & \text{if } i > m. \end{cases}$$

Since $x_i(L, T')$ and $x_i(\overline{L}, T')$ are non-negative, the above implies

$$x_{i}(I, T) + 1 \\ \geqslant \begin{cases} x_{i}(L, T') + x_{i}(\overline{L}, T') + 1 \geqslant \frac{1}{2}(x_{i}(L, T') + x_{i}(\overline{L}, T') + 2) & \text{if } i \leq m, \\ x_{i}(L, T') + x_{i}(\overline{L}, T') + 2 & \text{if } i > m. \end{cases}$$

$$(16)$$

Recalling that $k = \ell - m$, we calculate

$$w_{\ell}(I,T) = \sum_{i=1}^{\ell} \log(x_i(I,T) + 1)$$

$$\geq \sum_{i=1}^{\ell} \log(x_i(L,T') + x_i(\overline{L},T') + 2) - m \qquad by (16)$$

$$\geq \sum_{i=1}^{\ell} \frac{\log(x_i(L, T') + 1) + \log(x_i(\overline{L}, T') + 1)}{2} + \ell - m \qquad (*)$$

$$= \frac{1}{2}(w_\ell(L, T') + w_\ell(\overline{L}, T')) + k$$

$$\geq w_\ell(L, T') + k \qquad by (13)$$

$$\geq w_\ell(L, T'') + k \qquad by (5),$$

where in (*), we use the inequality $\log(x + y) = \log \frac{x+y}{2} + 1 \ge \frac{1}{2}(\log x + \log y) + 1$ that follows from the concavity of log. From this and the analogous argument for *R*, we conclude that

$$w_{\ell}(L, T'') + k \leqslant w_{\ell}(I, T) \quad \text{and} \quad w_{\ell}(R, T'') + k \leqslant w_{\ell}(I, T).$$
(17)

Now, we are set to verify Inv. 2 for the intervals L, R, and M (when they are defined and non-empty). We have

$$\frac{1}{5} \operatorname{depth}(v_L) + w_{\operatorname{level}(L)}(L, T'') \\ \leqslant \frac{1}{5} \operatorname{depth}(v_I) + k + w_{\ell}(L, T'') + b(\operatorname{level}(L) - \ell) \\ + \log\left(\frac{\operatorname{level}(L)!}{\ell!}\right) \qquad \text{by (12) and (7)} \\ \leqslant \frac{1}{5} \operatorname{depth}(v_I) + w_{\ell}(I, T) + b(\operatorname{level}(L) - \ell) \\ + \log\left(\frac{\operatorname{level}(L)!}{\ell!}\right) \qquad \text{by (17)} \\ \leqslant (b+1)\ell + \log(\ell!) + b(\operatorname{level}(L) - \ell) \\ + \log\left(\frac{\operatorname{level}(L)!}{\ell!}\right) \qquad \text{by Inv. 2 for } I \\ \leqslant (b+1)\operatorname{level}(L) + \log(\operatorname{level}(L)!).$$

The exact same bounds hold with L replaced by R. Finally, for M, we have

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$$\leq (b+1)\ell + \operatorname{level}(M) - \ell + b(\operatorname{level}(M) - \ell) + \log(\operatorname{level}(M)!) \qquad by (15) = (b+1)\operatorname{level}(M) + \log(\operatorname{level}(M)!).$$

This completes the round of our process for the interval I, with T'' becoming the new tree T. We have shown that both invariants, Inv. 1 and Inv. 2 are preserved.

The process ends when all vertices of *G* have been added to *T*. It remains to show that $T - r^*$ is an elimination forest of *G* with height at most 10*ab*. To see that it is an elimination forest, observe that whenever a vertex $v \in int(I)$ is added to *T* when considering an interval *I*, Inv. 1 guarantees that all neighbors of *v* in *G* that are already in *T* lie on the path from r^* to *v* in *T*, as the neighbors of *v* in *G* belong to B(I) by the definition of path decomposition.

The height of the forest $T - r^*$ is equal to max{depth(v) | $v \in V(G)$ }. Let v be a vertex of G. Consider the moment in the process when v has been added to T. Say, it happened when processing an interval I with level(I) = ℓ . Let m and k be the values fixed when processing I. Clearly, we have depth(v) \leq depth(v_I'') and $\ell \leq a$. Therefore,

$$depth(v) \leq depth(v''_{I})$$

$$\leq depth(v_{I}) + 5k \qquad by (12)$$

$$\leq depth(v_{I}) + 5w_{\ell}(I, T) \qquad by (10)$$

$$\leq 5(b+1)\ell + 5\log(\ell!) \qquad by Inv. 2$$

$$\leq 5(b+1)a + 5\log(a!)$$

$$\leq 5ab + 5a\log a + 5a.$$

Recall that $2^b \ge 2a$ and thus $b \ge \log(2a) = \log a + 1$. It follows that

 $depth(v) \leq 5ab + 5a \log a + 5a \leq 10ab.$

We conclude that $T - r^*$ is an elimination forest of G with height at most 10*ab*, as desired.

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